**Documento n.º 3.2**

**COMPLEMENTARIO A LA MEMORIA DE ACTIVIDADES DE LA UNIDAD**

SOLICITUD DE AYUDAS DESTINADAS A FINANCIAR PROGRAMAS ESTRATÉGICOS DE INVESTIGACIÓN DE ESTRUCTURAS DE INVESTIGACIÓN EN EL MARCO DE LA RIS3 DE CASTILLA Y LEÓN 2014-2020, COFINANCIADAS POR EL FONDO EUROPEO DE DESARROLLO REGIONAL (FEDER)

*a presentar en lengua inglesa*

*(Correspondencia con el apartado d.2 del anexo de la convocatoria)*

**Supporting Document Research Activities 2013-2017**

1. *Be careful that information provided in this annex is consistent with the application and other documentation submitted.*
2. *Follow the template below. DO NOT INCLUDE FURTHER INFORMATION. Only small additional explanations on the scope of data provided in the tables are allowed.*
3. *Fill in all the mandatory sections. Provide information on the rest of the sections, if you consider appropriate.*
4. *Please do not remove this guide or the instructions contained along the template.*
5. *Make sure the title of the file is “****Annex 1 Supporting 2013-2017-the acronym of your unit in capitals****”.*
6. *Convert the document into PDF.*
7. *The document must not exceed 4MB.*

# Name of the Unit and Abbreviation, if appropriate:

# a) Organization, management and research capabilities

**If appropriate:** Please indicate if the unit counts with an External Scientific Advisory Committee (SAB) and give details about the date of creation, its composition and the frequency of its meetings, specifying the date of its most recent external evaluation during 2013-2017 and the period covered.

Attach the most recent External Scientific Advisory Committee (SAB) Evaluation report as an annex at the end of this document. Do not include any other external evaluation

**If appropriate:** Include the Benchmarking of the Unit against other national and international centres/units you consider to be the leaders or at least similar to your unit. The benchmarking exercise should compare centres/units, and not wider institutions (as a whole university, etc). The benchmark subjects should comprise Research Output, Human Resources and Funding.

*Choose the most appropriate format to present the information, being complementary to what is given under the Application Form*

# b) Outstanding scientific contributions

**Mandatory**: Provide a TABLE WITH INFORMATION ON THE "SCIENTIFIC PRODUCTION OF THE UNIT AS A WHOLE ", over the 2013-2016 period and for 2017. Refer to the number of publications (total, first quartile and first decile) and the number of citations in the timeframe from publication to date. The table should be accompanied by a description of the method of calculation and the sources used. Only publications by the doctor researchers of the unit should be taken into account.

Fill in the table below:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **2013** | **2014** | **2015** | **2016** | **TOTAL** |
| **Total number of publications of the unit as a whole** |  |  |  |  |  |
| **Number of 1Q publications** |  |  |  |  |  |
| **Number of 1D publications** |  |  |  |  |  |
| **Number of citations from publication to date** |  |  |  |  |  |
| **Percentage of publications in Q1** |  |  |  |  |  |
| **Percentage of publications in D1** |  |  |  |  |  |

|  |  |  |
| --- | --- | --- |
|  | **2017 (\*)** | **TOTAL** |
| **Total number of publications of the unit as a whole** |  |  |
| **Number of citations from publication to date** |  |  |

*(\*)Publication data from 1/01/2017 to 30/06/2017. Q1 and D1 data are not available for 2017*

Describe the method of calculation used to fill in the table above:

**If appropriate:** Add any Other Scientific Metrics to refer optionally to alternative objective and verifiable information from public sources, indicating their excellence in research (e.g.: Nature Excellence Index, etc.).

# c) Human Resources

**Mandatory**

1. Provide data of the research staff evolution for the 2013-2017 up to-date by status, sex and nationality split by the unit’s main areas or research lines. Add Research staff by profession, sex, and nationality such as 31st DECEMBER 2016. Do not include predoctoral or postdoctoral trainees (those who have obtained their PhD in the past three years), which will be mentioned in another section.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **RESEARCH STAFF** | | | | | | | | | |
| *(Add as many Areas A, B, C, … as adequate for your unit) (\*)* | **Professional/Legal Status** | | **Sex** | | **Nationality** | | | | **TOTAL** |
| **Civil Servant/Permanent position** | **Non civil Servant/Non- permanent position (\*\*)** | **M** | **F** | **ES** | **EU** | **Latin American** | **Rest world** |  |
| **Area A:** *Specify* | **Research line / Area A** | | | | | | | | **TOTAL** |
| 2013 |  |  |  |  |  |  |  |  |  |
| 2014 |  |  |  |  |  |  |  |  |  |
| 2015 |  |  |  |  |  |  |  |  |  |
| 2016 |  |  |  |  |  |  |  |  |  |
| 2017 |  |  |  |  |  |  |  |  |  |
| **Average 2013-2017 Area A** |  |  |  |  |  |  |  |  |  |
| **Area B:** *Specify* | **Research line / Area B** | | | | | | | | **TOTAL** |
| 2013 |  |  |  |  |  |  |  |  |  |
| 2014 |  |  |  |  |  |  |  |  |  |
| 2015 |  |  |  |  |  |  |  |  |  |
| 2016 |  |  |  |  |  |  |  |  |  |
| 2017 |  |  |  |  |  |  |  |  |  |
| **Average 2013-2017 Area B** |  |  |  |  |  |  |  |  |  |
|  |  | | | | | | | | **TOTAL** |
| **TOTAL RESEARCHERS IN THE UNIT SUCH AS 31ST DECEMBER 2016** |  |  |  |  |  |  |  |  |  |

*(\*) Give the figures such as 31st December for years 2013-2016. Give the figures such as 30th June for 2017.*

*(\*\*) Ramón y Cajal, Miguel Servet and ERC grants should be included here. Juan de la Cierva grants should not be included here. Visiting researchers are not included.*

**Mandatory**

**b)** Provide data of the research visits (longer than 3 months) by research active academic staff at other institutions, by sex and nationality split by the unit’s main areas or research lines.

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **RESEARCH VISITS** | | | | | | | | | | |
| *(Add as many Areas A, B, C, … as adequate for your unit) (\*)* | **Number** | | | **Sex** | | **Nationality** | | | | **TOTAL** |
| **Predoc/Postdoc (\*\*)** | | **Senior (\*\*\*)** | **M** | **F** | **ES** | **EU** | **Latin American** | **Rest world** |  |
| **Area A:** *Specify* | **Research line / Area A** | | | | | | | | | **TOTAL** |
| 2013 |  | |  |  |  |  |  |  |  |  |
| 2014 |  | |  |  |  |  |  |  |  |  |
| 2015 |  | |  |  |  |  |  |  |  |  |
| 2016 |  | |  |  |  |  |  |  |  |  |
| 2017 |  | |  |  |  |  |  |  |  |  |
| **Average 2013-2017 Area A** |  | |  |  |  |  |  |  |  |  |
| **Area B:** *Specify* | **Research line / Area B** | | | | | | | | | **TOTAL** |
| 2013 |  | |  |  |  |  |  |  |  |  |
| 2014 |  |  | |  |  |  |  |  |  |  |
| 2015 |  |  | |  |  |  |  |  |  |  |
| 2016 |  |  | |  |  |  |  |  |  |  |
| 2017 |  |  | |  |  |  |  |  |  |  |
| **Average 2013-2017 Area B** |  |  | |  |  |  |  |  |  |  |
|  |  | | | | | | | | | **TOTAL** |
| **TOTAL RESEARCHERS IN THE UNIT SUCH AS 31ST DECEMBER 2016** |  |  | |  |  |  |  |  |  |  |

*(\*) Give the figures such as 31st December for years 2013-2016. Give the figures such as 30th June for 2017.*

*(\*\*) Predoctoral students who are registered as doctoral students at another institution or Researchers who have obtained their PhD in the past three years enrolled for a postdoc in other institution.*

*(\*\*\*) Those who are research active staff at other institutions*

**Mandatory**

**c)** Provide data of the technical staff by status, sex and nationality split by the unit’s main areas or research lines such as 31st december 2016.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TECHNICAL STAFF** | | | | | | | | | |
| *(Add as many Areas A, B, C, … as adequate for your unit) (\*)* | **Professional/Legal Status** | | **Sex** | | **Nationality** | | | | **TOTAL** |
| **Civil Servant/Permanent position** | **Non civil Servant/Non- permanent position (\*\*)** | **M** | **F** | **ES** | **EU** | **Latin American** | **Rest world** |  |
| **Area:** *Specify* |  |  |  |  |  |  |  |  |  |
| **Area:** *Specify* |  |  |  |  |  |  |  |  |  |
| **Area:** *Specify* |  |  |  |  |  |  |  |  |  |
| **Area:** *Specify* |  |  |  |  |  |  |  |  |  |
| **Area:** *Specify* |  |  |  |  |  |  |  |  |  |
|  |  | | | | | | | | **TOTAL** |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| **Administrative staff** |  |  |  |  |  |  |  |  |  |
| **TOTAL ADMINISTRATIVE AND TECHNICAL STAFF IN THE UNIT SUCH AS 31ST DECEMBER 2016** |  |  |  |  |  |  |  |  |  |

*(\*) Give the figures such as 31st December 2016.*

Código IAPA: n.º 2658 Modelo: n.º xxxx

# d) Training and Recruiting

**Mandatory**

1. Include some COMPREHENSIVE TABLES INDICATING THE NUMBER OF TRAINEES DISAGGREGATED BY SEX AND NATIONALITY DURING 2013-2017 AND DOCTORAL THESIS CARRIED OUT AND FINISHED AT THE UNIT. Add as many rows as research lines described for the unit.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **PRE DOCTORAL TRAINEES[[1]](#footnote-1) (\*)** | | | | | | | | | |
| *Add as many Areas A, B, C, … as adequate for your unit) (\*)* | Undergoing Training | | | | | | | | Doctoral Thesis defended (\*)  (number) under the supervision of the unit’S researchers |
| **Sex** | | **Nationality** | | | | | **TOTAL TRAINEES** |
| M | F | ES | EU | Latin America | | Rest world |
| **Area A:** *Specify* | **Research line / Area A** | | | | | | | | |
| **2013** |  |  |  |  |  | |  |  |  |
| **2014** |  |  |  |  |  | |  |  |  |
| **2015** |  |  |  |  |  | |  |  |  |
| **2016** |  |  |  |  |  | |  |  |  |
| **2017** |  |  |  |  |  | |  |  |  |
| **Average per year**  **2013-2017** |  |  |  |  |  | |  |  |  |
| **Area B:** *Specify* | **Research line / Area B** | | | | | | | | |
| **2013** |  |  |  |  | |  |  |  |  |
| **2014** |  |  |  |  | |  |  |  |  |
| **2015** |  |  |  |  | |  |  |  |  |
| **2016** |  |  |  |  | |  |  |  |  |
| **2017** |  |  |  |  | |  |  |  |  |
| **Average per year**  **2013-2017** |  |  |  |  | |  |  |  |  |

*(\*) Give the figures such as 31st December for years 2013-2016. Give the figures such as 30th June for 2017.*

**Mandatory**

1. Please include also the number of post-doctoral trainees disaggregated by sex and

nationality during 2013-2017. Add as many rows as research lines described for the unit.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **POST DOCTORAL TRAINEES[[2]](#footnote-2) (\*)** | | | | | | | | |
| *Add as many Areas A, B, C, … as adequate for your unit) (\*)* | Undergoing Training | | | | | | | | |
| **Sex** | | **Nationality** | | | | | **TOTAL POSTDOCS TRAINEES** | |
| M | F | ES | EU | Latin America | | Rest world |
| **Area A:** *Specify* | **Research line / Area A** | | | | | | | |
| **2013** |  |  |  |  |  | |  |  | |
| **2014** |  |  |  |  |  | |  |  | |
| **2015** |  |  |  |  |  | |  |  | |
| **2016** |  |  |  |  |  | |  |  | |
| **2017** |  |  |  |  |  | |  |  | |
| **Average per year**  **2013-2017** |  |  |  |  |  | |  |  | |
| **Area B:** *Specify* | **Research line / Area B** | | | | | | | |
| **2013** |  |  |  |  | |  |  |  | |
| **2014** |  |  |  |  | |  |  |  | |
| **2015** |  |  |  |  | |  |  |  | |
| **2016** |  |  |  |  | |  |  |  | |
| **2017** |  |  |  |  | |  |  |  | |
| **Average per year**  **2013-2017** |  |  |  |  | |  |  |  | |

# Mandatory:

# c) Please include also the number of post-doctoral trainees and the expiration date of their contractual relationship with the unit. Add as many lines as needed.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **POSTDOCTORAL TRAINING EVOLUTION** | | | | | | | | |
|  | Number of PostDocs in the Unit (\*) | Year of Expiration of contract | Sex | | Nationality | | | |
| M | F | ES | EU | LATIN AMERICA | REST WORLD |
| **Year 2013** |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
| **Total number in 2013** |  | |  |  |  |  |  |  |
| **Year 2014** |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
| **Total number in 2014** |  | |  |  |  |  |  |  |
| **Year 2015** |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
| **Total number in 2015** |  | |  |  |  |  |  |  |
| **Year 2016** |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
| **Total number in 2016** |  | |  |  |  |  |  |  |
| **Year 2017** |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
|  |  | 20\_\_ |  |  |  |  |  |  |
| **Total number in 2017** |  | |  |  |  |  |  |  |

*(\*) Such as 31st December for years 2013-2016. Such as 30th June for 2017.*

# e) Funding Sources

**Mandatory:** Fill in the table with the unit’s income and its evolution 2013, 2014, 2015 and 2016, split by main source of revenue.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **INCOME** | **2013** | **2014** | **2015** | **2016** | **AVERAGE** |
| **PUBLIC FUNDING (TOTAL)** |  |  |  |  |  |
| **Competitive sources** |  |  |  |  |  |
| FP 7/ H2020 |  |  |  |  |  |
| International sources (excluding FP 7/ H2020) |  |  |  |  |  |
| National |  |  |  |  |  |
| Regional (Castilla y León) |  |  |  |  |  |
| Others |  |  |  |  |  |
| **Non-competitive sources** |  |  |  |  |  |
| International sources |  |  |  |  |  |
| National |  |  |  |  |  |
| Regional  (Castilla y León) |  |  |  |  |  |
| Others |  |  |  |  |  |
| **PRIVATE FUNDING (Total)** |  |  |  |  |  |
| National |  |  |  |  |  |
| International |  |  |  |  |  |
| **TOTAL FUNDING** |  |  |  |  |  |

**Mandatory:** Add pie charts below the table to demonstrate clearly the funding in the different years

# ANNEX (if appropriate)

**Conclusions and Recommendations BY THE UNIT’S External Scientific Advisory Committee.** *Please attach here the most recent evaluation report from the unit’s. DO NOT INCLUDE ANY OTHER EXTERNAL EVALUATION*

**EXCMO. SR. CONSEJERO DE EDUCACIÓN DE LA JUNTA DE CASTILLA Y LEÓN**

*-* Para cualquier consulta relacionada con la materia o sugerencia para mejorar este formulario puede dirigirse al teléfono de información administrativa 012 y 983 327 850.

- De conformidad con lo establecido en el artículo 5 de la Ley Orgánica 15/1999, de 13 de diciembre de Protección de Datos de Carácter Personal, los datos aportados en este formulario serán incorporados a un fichero para su tratamiento automatizado. Le comunicamos que podrá ejercitar los derechos de acceso, rectificación y cancelación dirigiéndose a la Dirección General de Universidades e Investigación.

1. Pre Doctoral trainees refer to the number of doctoral students enrolled in PhD programs and conducting their thesis under the supervision of research staff of the unit. [↑](#footnote-ref-1)
2. Post Doctoral trainees refer to the number of researchers who have obtained their PhD in the past three years. Juan de la Cierva and long term MSCA grants should be included here. [↑](#footnote-ref-2)